

**Exhibit F**

**CERTIFICATION PURSUANT TO ORDER COMPELLING BROKERAGE FIRMS'  
COMPLIANCE WITH VARIOUS REGULATORY REQUIREMENTS**

Pursuant to the *Amended Order Compelling Brokerage Firms' Compliance with Various Regulatory Requirements* [Dkt. No. 681] (the "Order")<sup>1</sup> entered in *In re: Sorrento Therapeutics, Inc., et al.*, Case No. 23-90085 (DRJ) (Bankr. S.D.T.X.), the undersigned as authorized signatory for purposes of this Certification of UBS AG, UBS Financial Services Inc., and UBS Securities LLC (collectively, "UBS"), hereby certifies that, to the undersigned's knowledge, as of this \_\_\_\_ day of June, 2023:

- a. Pursuant to Section 2(a) of the Order, UBS credited all Dividended Scilex Stock to their customers' accounts and hereby attaches the report hereto detailing as to each customer account, on an anonymous basis, the number of shares of Dividended Scilex Stock credited and quoted price of such stock on a real time quotation basis.

Executed under penalty of perjury, this 6<sup>th</sup> day of June, 2023.

By: \_\_\_\_\_

Printed Name: Mary Chamulak-Oszustowicz

Title: Executive Director – UBS Financial Services Inc.

Address: 1000 Harbor Blvd 6<sup>th</sup> Floor, Weehawken NJ 07086

By: \_\_\_\_\_

Printed Name: DHANAL PAREKH

Title: DIRECTOR - UBS SECURITIES LLC

Address: 315 DEARICK STREET, NASHVILLE - TN - 37238

**SIGNATURE GUARANTEED  
MEDALLION GUARANTEED**

UBS FINANCIAL  
SERVICES INC.

*UBS Financial Services Inc.*

(OPZ001)

AUTHORIZED SIGNATURE  
Z0002212

NYSE, INC. MEDALLION SIGNATURE PROGRAM



**SIGNATURE GUARANTEED  
MEDALLION GUARANTEED**

UBS SECURITIES LLC

*Mary Chamulak-Oszustowicz*

(STA128)

AUTHORIZED SIGNATURE  
Z0000117

NYSE, INC. MEDALLION SIGNATURE PROGRAM



<sup>1</sup> Capitalized words used but not otherwise defined shall have the meanings ascribed to such terms in the Order.  
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## UBS AG Stamford Branch

Accounts	Rate	New Qty	Closing Share Price (as of 6/5/23)
Account 1	0.141013	-326,391.00	\$7.87
Account 2	0.141013	353,929.00	\$7.87
Account 3	0.141013	-20,315.00	\$7.87
Account 4	0.141013	12,874.00	\$7.87
<b>Total</b>		<b>20,097</b>	

## UBS Securities LLC

Accounts	Rate	New Qty	Closing Share Price (as of 6/5/23)
Account 1	0.141013	455	\$7.87
Account 2	0.141013	1255	\$7.87
Account 3	0.141013	-70506	\$7.87
Account 4	0.141013	70497	\$7.87
Account 5	0.141013	70506	\$7.87
Account 6	0.141013	-230	\$7.87
Account 7	0.141013	17655	\$7.87
Account 8	0.141013	1	\$7.87
Account 9	0.141013	15257	\$7.87
Account 10	0.141013	-17655	\$7.87
Account 11	0.141013	-42716	\$7.87
Account 12	0.141013	-31805	\$7.87
Account 13	0.141013	230	\$7.87
<b>Total</b>		<b>12,944.00</b>	

## UBS Financial Services

Accounts	Rate	New Qty
Account 1	0.141013	2
Account 2	0.141013	1,410
Account 3	0.141013	423
Account 4	0.141013	84
Account 5	0.141013	141
Account 6	0.141013	380
Account 7	0.141013	14
Account 8	0.141013	21
Account 9	0.141013	352
Account 10	0.141013	211
Account 11	0.141013	28
Account 12	0.141013	564
Account 13	0.141013	1,128
Account 14	0.141013	31
Account 15	0.141013	493
Account 16	0.141013	141
Account 17	0.141013	141
Account 18	0.141013	211
Account 19	0.141013	141

Account 20	0.141013	564
Account 21	0.141013	282
Account 22	0.141013	282
Account 23	0.141013	42
Account 24	0.141013	141
Account 25	0.141013	211
Account 26	0.141013	282
Account 27	0.141013	141
Account 28	0.141013	282
Account 29	0.141013	141
Account 30	0.141013	211
Account 31	0.141013	846
Account 32	0.141013	282
Account 33	0.141013	40
Account 34	0.141013	197
Account 35	0.141013	70
Account 36	0.141013	141
Account 37	0.141013	874
Account 38	0.141013	8
Account 39	0.141013	282
Account 40	0.141013	1,410
Account 41	0.141013	282
Account 42	0.141013	352
Account 43	0.141013	2,284
Account 44	0.141013	1,945
Account 45	0.141013	141
Account 46	0.141013	423
Account 47	0.141013	225
Account 48	0.141013	282
Account 49	0.141013	70
Account 50	0.141013	141
Account 51	0.141013	56
Account 52	0.141013	1,692
Account 53	0.141013	84
Account 54	0.141013	423
Account 55	0.141013	84
Account 56	0.141013	141
Account 57	0.141013	141
Account 58	0.141013	282
Account 59	0.141013	70
Account 60	0.141013	705
Account 61	0.141013	1,410
Account 62	0.141013	141
Account 63	0.141013	987
Account 64	0.141013	5,640
Account 65	0.141013	56
Account 66	0.141013	141
Account 67	0.141013	380
Account 68	0.141013	282
Account 69	0.141013	352

Account 70	0.141013	141
Account 71	0.141013	211
Account 72	0.141013	141
Account 73	0.141013	84
Account 74	0.141013	169
Account 75	0.141013	22,562
Account 76	0.141013	42
Account 77	0.141013	116
Account 78	0.141013	70
Account 79	0.141013	28
Account 80	0.141013	2,397
Account 81	0.141013	705
Account 82	0.141013	105
Account 83	0.141013	141
Account 84	0.141013	78
Account 85	0.141013	28
Account 86	0.141013	112
Account 87	0.141013	56
Account 88	0.141013	423
Account 89	0.141013	100
Account 90	0.141013	70
Account 91	0.141013	70
Account 92	0.141013	1,128
Account 93	0.141013	352
Account 94	0.141013	70
Account 95	0.141013	70
Account 96	0.141013	70
Account 97	0.141013	5
Account 98	0.141013	141
Account 99	0.141013	282
Account 100	0.141013	141
Account 101	0.141013	42
Account 102	0.141013	56
Account 103	0.141013	1,410
Account 104	0.141013	1,410
Account 105	0.141013	1,410
Account 106	0.141013	14
Account 107	0.141013	253
Account 108	0.141013	14
Account 109	0.141013	14
Account 110	0.141013	40
Account 111	0.141013	141
Account 112	0.141013	28
Account 113	0.141013	28
Account 114	0.141013	14
Account 115	0.141013	14
Account 116	0.141013	56
Account 117	0.141013	141
Account 118	0.141013	211
Account 119	0.141013	70

Account 120	0.141013	169
Account 121	0.141013	98
Account 122	0.141013	211
Account 123	0.141013	42
Account 124	0.141013	84
Account 125	0.141013	282
Account 126	0.141013	705
Account 127	0.141013	535
Account 128	0.141013	42
Account 129	0.141013	63
Account 130	0.141013	366
Account 131	0.141013	76
Account 132	0.141013	141
Account 133	0.141013	70
Account 134	0.141013	564
Account 135	0.141013	183
Account 136	0.141013	14
Account 137	0.141013	42
Account 138	0.141013	70
Account 139	0.141013	705
Account 140	0.141013	1,410
Account 141	0.141013	3,384
Account 142	0.141013	282
Account 143	0.141013	564
Account 144	0.141013	28
Account 145	0.141013	123
Account 146	0.141013	59
Account 147	0.141013	141
Account 148	0.141013	141
Account 149	0.141013	282
Account 150	0.141013	423
Account 151	0.141013	3,199
Account 152	0.141013	28
Account 153	0.141013	141
Account 154	0.141013	70
Account 155	0.141013	141
Account 156	0.141013	70
Account 157	0.141013	6,063
Account 158	0.141013	20,940
Account 159	0.141013	7,050
Account 160	0.141013	63
Account 161	0.141013	641
Account 162	0.141013	42
Account 163	0.141013	282
Account 164	0.141013	705
Account 165	0.141013	56
Account 166	0.141013	1,466
Account 167	0.141013	2,679
Account 168	0.141013	70
Account 169	0.141013	21

Account 170	0.141013	634
Account 171	0.141013	211
Account 172	0.141013	1,269
Account 173	0.141013	141
Account 174	0.141013	141
Account 175	0.141013	1,931
Account 176	0.141013	141
Account 177	0.141013	14
Account 178	0.141013	211
Account 179	0.141013	56
Account 180	0.141013	211
Account 181	0.141013	21
Account 182	0.141013	141
Account 183	0.141013	42
Account 184	0.141013	28
Account 185	0.141013	141
Account 186	0.141013	141
Account 187	0.141013	211
Account 188	0.141013	-
Account 189	0.141013	28
Account 190	0.141013	14
Account 191	0.141013	7
Account 192	0.141013	275
Account 193	0.141013	3
Account 194	0.141013	28
Account 195	0.141013	1
Account 196	0.141013	1
Account 197	0.141013	141
Account 198	0.141013	14
Account 199	0.141013	70
Account 200	0.141013	52
Account 201	0.141013	5
Account 202	0.141013	5
Account 203	0.141013	7
Account 204	0.141013	2,115
Account 205	0.141013	22
Account 206	0.141013	70
Account 207	0.141013	133
Account 208	0.141013	4
Account 209	0.141013	35
Account 210	0.141013	16
Account 211	0.141013	477
Account 212	0.141013	141
Account 213	0.141013	282
Account 214	0.141013	141
Account 215	0.141013	14
Account 216	0.141013	42
Account 217	0.141013	28
Account 218	0.141013	70
Account 219	0.141013	28

Account 220	0.141013	84
Account 221	0.141013	141
Account 222	0.141013	282
Account 223	0.141013	141
Account 224	0.141013	70
Account 225	0.141013	282
Account 226	0.141013	352
Account 227	0.141013	39
Account 228	0.141013	70
Account 229	0.141013	35
Account 230	0.141013	70
Account 231	0.141013	141
Account 232	0.141013	14
Account 233	0.141013	56
Account 234	0.141013	188
Account 235	0.141013	2
Account 236	0.141013	141
Account 237	0.141013	423
Account 238	0.141013	141
Account 239	0.141013	8,460
Account 240	0.141013	173
Account 241	0.141013	705
Account 242	0.141013	70
Account 243	0.141013	71
Account 244	0.141013	282
Account 245	0.141013	338
Account 246	0.141013	282
Account 247	0.141013	352
Account 248	0.141013	282
Account 249	0.141013	282
Account 250	0.141013	59
Account 251	0.141013	141
Account 252	0.141013	239
Account 253	0.141013	846
Account 254	0.141013	2,820
Account 255	0.141013	211
Account 256	0.141013	141
Account 257	0.141013	423
Account 258	0.141013	141
Account 259	0.141013	169
Account 260	0.141013	282
Account 261	0.141013	105
Account 262	0.141013	846
Account 263	0.141013	141
Account 264	0.141013	141
Account 265	0.141013	705
Account 266	0.141013	1,269
Account 267	0.141013	423
Account 268	0.141013	423
Account 269	0.141013	211



Account 270	0.141013	45
Account 271	0.141013	282
Account 272	0.141013	141
Account 273	0.141013	148
Account 274	0.141013	56
Account 275	0.141013	282
Account 276	0.141013	141
Account 277	0.141013	141
Account 278	0.141013	423
Account 279	0.141013	7
Account 280	0.141013	70
Account 281	0.141013	1,410
Account 282	0.141013	1,410
Account 283	0.141013	211
Account 284	0.141013	49
Account 285	0.141013	423
Account 286	0.141013	705
Account 287	0.141013	70
Account 288	0.141013	71
Account 289	0.141013	282
Account 290	0.141013	70
Account 291	0.141013	70
Account 292	0.141013	28
Account 293	0.141013	403
Account 294	0.141013	564
Account 295	0.141013	2,639
Account 296	0.141013	2,643
Account 297	0.141013	3,102
Account 298	0.141013	564
Account 299	0.141013	282
Account 300	0.141013	42
Account 301	0.141013	70
Account 302	0.141013	70
Account 303	0.141013	42
Account 304	0.141013	14
Account 305	0.141013	141
Account 306	0.141013	423
Account 307	0.141013	141
Account 308	0.141013	16
Account 309	0.141013	14
Account 310	0.141013	3,587
Account 311	0.141013	70
Account 312	0.141013	564
Account 313	0.141013	28
Account 314	0.141013	91
Account 315	0.141013	352
Account 316	0.141013	7
Account 317	0.141013	197
Account 318	0.141013	352
Account 319	0.141013	282

Account 320	0.141013	98
Account 321	0.141013	141
Account 322	0.141013	1,762
Account 323	0.141013	15
Account 324	0.141013	44
Account 325	0.141013	-
Account 326	0.141013	14
Account 327	0.141013	-
Account 328	0.141013	2
Account 329	0.141013	219
Account 330	0.141013	28
Account 331	0.141013	56
Account 332	0.141013	70
Account 333	0.141013	14
Account 334	0.141013	6
Account 335	0.141013	23
Account 336	0.141013	25
Account 337	0.141013	141
Account 338	0.141013	70
Account 339	0.141013	28
Account 340	0.141013	19
Account 341	0.141013	14
Account 342	0.141013	14
Account 343	0.141013	14
Account 344	0.141013	14
Account 345	0.141013	28
SBL		8
Frac		102
<b>Total</b>		<b>182,220</b>